

READINESS & PREPARATORY SUPPORT

PROPOSAL TEMPLATE



Proposal title: Republic of the Marshall Islands GCF: Strengthening of the NDA and Direct Access Entities

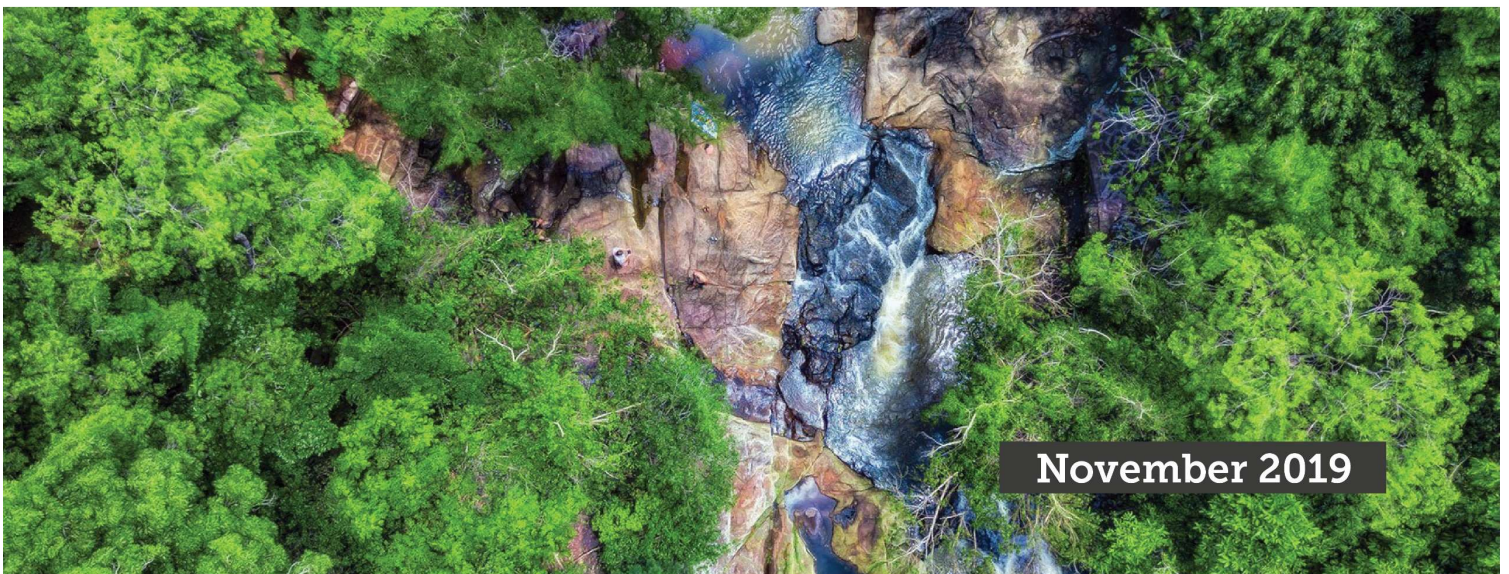
Country: Republic of the Marshall Islands (RMI)

National designated authority: Director, Climate Change Directorate (CCD)

Implementing Institution: Secretariat of the Pacific Regional Environment Programme (SPREP)

Date of first submission: 30 June 2020

Date of current submission / version number / 13 September 2021 V.05



November 2019

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This document should be completed by National Designated Authorities (NDA) or focal points with support from their Delivery Partners where relevant. Once completed, this document should be submitted to the GCF by the NDA or focal point via the **online submission system**, accessible through the Country Portal of the GCF website.

Please be concise. If you need to include any additional information, please attach it to the proposal.

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Note: Environmental and Social Safeguards and Gender

Throughout this document, when answering questions and providing details, please make sure to pay special attention to environmental, social and gender issues, particularly to the situation of vulnerable populations, including women and men. Please be specific about proposed actions to address these issues. Consult Annex IV of the Readiness Guidebook for more information.

Please visit the Country Portal on the GCF website to submit this proposal via the **online system**.

When submitting the proposal, please name the file:
GCF Readiness -[Country]-[yymmdd]

1) SUMMARY

1.1 Country submitting the proposal	<p>Country name: Republic of the Marshall Islands Ministry of Environment, Climate Change Directorate</p> <p>Name of institution representing NDA or Focal Point: Ministry of Environment, Climate Change Directorate</p> <p>Name of contact person: Mr Clarence Samuel Contact person's position: Director, Climate Change Directorate Telephone number: +692 625 7944 Email: clarencesam@gmail.com Climate Change Directorate (CCD) Government of Marshall Islands Majuro 96960, Republic of Marshall Islands</p> <p>Full office address: Majuro 96960, Republic of Marshall Islands</p> <p>Additional email addresses that need to be copied on correspondences: warwick47@gmail.com</p>
1.2 Date of initial submission	30 June 2021
1.3 Last date of resubmission	11 December 2020
1.4 Which institution will implement the Readiness and Preparatory Support project?	<p><input type="checkbox"/> National designated authority <input checked="" type="checkbox"/> Accredited entity <input checked="" type="checkbox"/> Delivery partner</p> <p>Please provide contact information if the implementing partner is not the NDA/focal point</p> <p>Name of institution: Secretariat of the Pacific Regional Environment Programme (SPREP) Name of official: Mr Kosi Latu Position: Director General Telephone number: 29129 ext 312 Email: kosil@sprep.org Full office address: Avele Road, Vailima Apia, Samoa</p> <p>Additional email addresses that need to be copied on correspondences: melaniek@sprep.org; vitolinas@sprep.org; filomenan@sprep.org; fredp@sprep.org</p>
1.5 Title of the Readiness support proposal	Republic of the Marshall Islands GCF: Strengthening of the NDA and Direct Access Entities
1.6 Type of Readiness support sought	<p>Please select the relevant GCF Readiness objective(s) below (click on the box – please refer to Annex I and II in the Guidebook):</p> <p><input checked="" type="checkbox"/> I. Capacity building <input checked="" type="checkbox"/> II. Strategic frameworks <input type="checkbox"/> III. Adaptation planning <input checked="" type="checkbox"/> IV. Pipeline development <input checked="" type="checkbox"/> V. Knowledge sharing and learning</p>

1.7 Brief summary of the request

RMI's objective for This proposal is to enhance it's capacity to effectively implement its institutional and operational climate finance functions and access more climate finance with the Green Climate Fund. Under This proposal, RMI seeks to:

1. Continue strengthening the capacity of the NDA in particular to, embed the new processes that were endorsed under the first readiness project (that have yet to be implemented; Enhance visibility of climate change projects to be made available and kept up-to-date on RMI's online data portal, build NDA office and the members knowledge and skills in project management and climate finance management to be effective in their roles and support the Climate Change Directorate with strategy and plan to (Outcome 1.1).
2. Progress the Ministry of Finance to prepare for national direct access entity accreditation, building on rapid assessment work undertaken in 2019 and strengthening independence and country ownership to lead on climate finance management activities (Outcome 1.2).
3. Develop and strengthen strategic plans for RMI to programme and expedite support to implement the country programme and (Outcome 2.1).
4. Progress new project ideas scoped under Readiness I and develop project concept(s) aligned with the Country Programme (Outcome 4.1).

This proposal also seeks to develop two new areas to support RMI with accessing climate finance:

5. Strengthening the capacity of the Regional Direct Access Entity – SPREP - to support RMI and north Pacific sub-region Pacific Island Countries (PICs) to increase engagements with regional Accredited Entities to access climate finance (Outcome 1.2).
6. Assess and map best practices and lessons learned from climate finance operations in other countries in the Pacific and small island states to inform continuous improvement of RMI's climate finance operations (Outcome 5.1).

The beneficiaries of the project are the stakeholders within the RMI's internal governance mechanism which include:

- Tile Til Eo Committee (TTEC) the overarching strategic national government committee for all climate change matters,
- the Project Review Committee (PRC) a sub-committee that reviews and appraises project ideas, proposals and monitors and reviews project progress, makes recommendations to the TTEC;
- the Climate Change Directorate (CCD), established under the Ministry of Environment in late 2018 and has a mandated role in climate finance. The RMI's National Delegated Authority (NDA) is also Director of this directorate;
- the Government of Marshall Islands Ministry of Finance (MoF),
- SPREP as a regional accredited entity,
- NDAs in the north Pacific sub-region (FSM, Kiribati, Nauru, Palau, RMI) and
- Local project teams working on climate financed activities in RMI and countries in the sub-region.

Points 1 to 6 are discussed in more detail under Section 2 (Situation Analysis) and Section 3 (Logical Framework).

1.8 Total requested amount and currency

USD 522,500

1.9 Implementation period

24 months

1.10 Is this request a multiple-year strategic Readiness implementation request?

- Yes
 No

For more information on how a country may be eligible to access Readiness support through this modality, please refer to **Annex IV of the Readiness Guidebook**.

1.11 Complementarity and coherence of existing readiness support

- Yes
- No

The proposal complements ongoing work within RMI government to streamline policies and processes in order to strengthen management of global and foreign funding. This includes climate finance where the Climate Change Director also seeks to strengthen RMI's oversight and contribution to projects in the pipeline and in implementation.

This proposed project builds on activity that has occurred in RMI's climate finance landscape over the last three years and will continue to work with other key actors and projects operating in this landscape.

Below is brief synopsis of the existing projects which share some commonality with the project proposed:

Project Name	Funded Amount (US) Duration	Description
Asian Dev Bank NDC Advance Support	\$800K 2021-2022	Support to the Implementation of the RMI Climate Finance Action Plan and related Private Sector Development Strategy. Expected outputs from the project are reports with recommendations key recommendations for strengthening RMI's climate finance landscape as well as existing institutional arrangements to facilitate adequate funding for planned adaptation and mitigation work, including mobilizing the private sector. These will feed into delivery of activity in this proposed project against outputs 1.1.1, 1.1.3, 1.2.1, 2.1.1
UNDP Deep Dive Project	\$1.6M 2021-2022	This project had a capacity building component targeting a wide range of stakeholders including the climate change committees who are

		<p>beneficiaries of this proposed project.</p> <p>The project will avoid duplication of training by working with the NDC partnership coordinator to map the programme for climate finance and project management related training. A difference with this proposed project is that it also aims to provide training and learning opportunities that will have longer lasting impact on local capacity and build on trainee needs and experience from any training taken before including accredited learning/training.</p>
<p>National Adaptation Plan World Bank</p>	<p>In development 1.5M</p>	<p>This NDA mechanisms to be implemented by this proposed project will oversight, review, appraise and approve/decline project ideas / concepts developed under the NAP.</p> <p>Activity under the NAP will also complement institutional strengthening of RMI for accessing climate finance through gender mainstreaming, environmental social safeguards and communication and engagement strategy activities intended for it to deliver.</p>
<p>Enhancing the resilience of health systems to climate change and emerging pandemics in the Republic of Marshall Islands (Readiness) WHO</p>	<p>Submitted for approval \$400K</p>	<p>Learning and findings from this project once in implementation may inform the Readiness Assessment activity (2.1.1)</p>
<p>SPREP Readiness</p>	<p>In development /submitted for approval</p>	<p>This project provides a range of in-direct benefits for the pacific</p>

		<p>\$1.3M</p>	<p>region including a refreshed analysis of the Private Sector in the Pacific with a closer look at the sector on-ground, development of grievance redress mechanism across three current regional (Pacific) direct access entities to provide common practice for the region.</p> <p>A SPREP Project Coordination Unit (PCU) lead will be undertaking work and related travel under the SPREP Readiness proposed project. Efficiencies have been considered across planned SPREP activity in RMI and the north Pacific, therefore SPREP PCU travel costs have been included in the SPREP Readiness for activity 1.2.2 of this RMI proposed readiness project.</p>
	<p>RMI GCF Funded activity:</p> <p>FP11 – water security</p> <p>FP36 – Renewable Energy Investment Program (multi-country)</p> <p>FP66 – coastal infrastructure resilience</p>	<p>\$18.6M Ends February 2027</p> <p>\$17M Ends July 2025</p> <p>\$25M Ends April 2024</p>	<p>By strengthening RMI's internal capacity in project management and governance the overseeing committees and CCD will be increasingly effective in their roles regarding these funded activities.</p>

	FP147 – Climate Information and Knowledge Services (multi-country)	\$47.4M	
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2. SITUATION ANALYSIS

The Republic of Marshall Islands is one of the world's lowest-lying and climate vulnerable countries as a coral atoll nation comprising 1,156 individual islands/islets and 29 different atolls with an average elevation of just six feet above sea level, dispersed across nearly two million square kilometers of the Pacific Ocean. The population is approximately 53,000 the majority of whom live on Majuro (25,000) and Ebeye (15,000).

The Marshall Islands' Tile Til Eo 2050 Climate Strategy "*Lighting the Way*" (September 2018) provides the long-term vision of progressing towards net zero greenhouse gas emissions by 2050, as well as transitioning to an economy and society that is resilient and can adapt to the inevitable impacts of climate change. This vision is further portrayed in the National Determined Contribution (NDC, 2018) where RMI commits to a quantified economy-wide target to reduce its emissions to 32 percent below 2010 levels by 2025 with intentions to reduce emissions to 45 percent below 2010 levels by 2030. On adaptation, the NDC commits to producing a National Adaptation Plan (NAP) as an update to the Joint National Action Plan for Climate Change Adaptation & Disaster Risk Management (2014-2018).

To realise this vision the RMI developed and launched its NDC Partnership Plan in December 2018, which incorporated recommendations from the country's Pacific Climate Change Finance Assessment (2014). The Plan is a dynamic map of multiple partners (government and non-government agencies, regional and international agencies) flagged to lead and/or implement actions to achieve six high level Outcomes:

1. Accelerated cost-effective transition to a net-zero carbon future, with sustainable development benefits for all.
2. Resilient national development pathway envisaged in the context of climate change impacts.
3. Gender and human rights considerations and measures integrated throughout climate change response.
4. Strengthened capacity of citizens, public and private sectors to contribute to NDC implementation.
5. Whole-of-government policy coordination and effective climate finance management, and
6. Strengthened position as a global leader on high ambition for climate action.

The RMI National Designated Authority (NDA) as Director of the Climate Change Directorate (CCD) contributes as an integral partner to the Plan and particularly to Outcome 5, where sits the output for implementation and coordination of RMI's Climate Finance Action Plan (CFAP, 2019). Under direction of the NDA, the GCF Readiness I project has contributed to Outcome 5 and against the CFAP through:

- Development and national endorsement of RMI's GCF Country Programme, that is also reflective of priorities identified through the NDC Partnership Plan.

- Development and national endorsement of RMI's No Objection Letter procedure and Handbook, including adjustment to the internal governance and coordination mechanism for RMI's climate change activities to integrate and enhance oversighting management of climate finance projects.
- Development and operationalisation of the national data portal updated with environmental data from government agencies including climate change data.
- Resources for the NDA strengthened particularly with the Readiness Coordinator and international assistance working with stakeholders to develop and integrate new processes and plans.

RMI's focus on climate change action also looks to the Pacific region to optimize opportunities to achieve climate change targets and goals but with many international actors in the field the RMI also looks to strengthen regional partnerships. In 2018 RMI championed the establishment of a sub-regional north Pacific office for SPREP as a regional direct access entity and intergovernmental environment agency for the Pacific. This highlighted the call from north Pacific countries' for need of more direct communication and engagement with SPREP technical expertise and wider partnerships in policy and project development and to access climate finance. The sub-regional office, situated in RMI's capital Majuro, was opened in November 2019 with initial establishment costs funded by SPREP and RMI. There is currently one SPREP Technical (Water) Expert based in the office with plans for more SPREP technical experts, project managers and officers to be based there as RMI and/or sub-regional projects become approved.

Challenges – Gaps and Barriers

The problem for RMI is the limited capacity to effectively respond to growing interest from stakeholders on climate change actions and to lead actions to access climate finance. The problem is broken down as such:

The NDA's capacity is limited to fulfil its role due to no personnel to coordinate and ensure implementation of new GCF mechanisms including support to adapt, embed and ensure complementarity with wider government processes;

RMI's NDA is the Director of the Climate Change Directorate (CCD), a fairly new directorate in RMI created under the establishment of RMI's Ministry of Environment Act 2018 to lead climate change work in the Republic. Under this Act CCD is mandated to be the "national operational focal point to the UNFCCC, associated protocols, agreements, and relevant climate change finance mechanisms including the Green Climate Fund and the Global Environment Facility"¹.

Current staffing under the CCD has five permanent staff including the Director, all of whom were under the former Office of Environmental Planning and Policy Coordination where the NDA was based prior. For the foreseeable future the capacity of this team is unable to absorb additional work to support effective implementation of the NDA coordination mechanisms developed during the first readiness and drive actions to strengthen engagement with the GCF. Whilst the RMI Partnership Plan is mobilising to establish a "Whole-of-government policy coordination and effective climate finance management" RMI is currently still operating separate systems for donors hence the NDA's need to focus on ensuring mechanisms to maintain engagement and obligations with the GCF are effective and integrate with government wide changes as they evolve.

The CCD is also without a corporate strategy and plan to guide and support the delivery of its mandate. At the time this proposal was developed the Readiness Coordinator funded under the previous readiness project was heavily involved in supporting the NDA in climate change project and climate finance related meetings and workshops. An endorsed strategy would provide long-term government plan to support the NDA and related functions and also provide an exit strategy from reliance on GCF readiness grants to fund additional personnel capacity for RMI.

Actors who are part of climate finance mechanisms need to increase knowledge and awareness of climate finance and project management aspects for the mechanisms to be effective in guiding RMI actions and decisions to access climate finance and address its climate change priorities.

New climate finance coordination mechanisms and processes were developed under the first Readiness project, however were only endorsed in early 2021 and have yet to be implemented. The TTEC and Project Review Committee members need to understand their role and responsibilities to support the NDA in ensuring RMI

¹ Ministry of Environment Act 2018, NITIJELA OF THE REPUBLIC OF THE MARSHALL ISLANDS 39TH CONSTITUTION REGULAR SESSION

appropriately oversights, appraises and monitors the Republic's climate change priorities and projects. This includes refreshing and raising their awareness at different levels of project management including project financial management as well as in areas including environmental social safeguards and gender equity and social inclusion.

There is currently no overarching government training plan to support staff in effectively undertaking their roles in managing projects at operational or strategic level. Learning opportunities are often driven by what has been planned or offered through approved projects, accredited entities, donors or Council of Regional Organisations of the Pacific (CROP) ² agencies and therefore not planned to provide ongoing learning and mentoring support for this type of work that is wide-ranging in its scope and constantly evolving.

RMI's capacity to directly access climate funds is limited to dependency on external agencies to lead on securing finance and manage climate finance activities.

Partnerships with international agencies on large and small scale projects are highly valued in RMI and have led to successes with approved GCF proposals and the many development projects in past times. However over time the offset of such partnerships has been dependency on external agencies to lead and manage rigorous requirements of donors. This has resulted in less investment and commitment in building and instilling robust fiduciary and project management systems and skills within national institutions for RMI to lead on climate finance activities and access climate funds directly from donors. This is evidenced by the rapid assessment undertaken by USAID Climate Ready³ where it broadly found the Ministry of Finance other national institutions to have major gaps in its capacity in reference to the GCF Fiduciary Standards. However, of the national entities assessed⁴, the Ministry of Finance is best placed to address the gaps identified however this is expected to take time and will require resources for technical and administrative support to understand the depth of the gaps and plan and implement necessary actions.

Partnerships with regional agencies are also valued by RMI however in comparison to international agencies regional direct access entities, such as SPREP, lack the same level of resources afforded by their international counterparts and are therefore limited in their ability to engage and work with countries like RMI to progress national climate change priorities.

RMI championed SPREP's sub-regional (North Pacific) office in its capital Majuro, as a means towards strengthening SPREP's presence and therefore engagement and support with Kiribati, Micronesia, Nauru, Palau and RMI as had been agreed during multi-laterals during the 29th SPREP meeting in 2019. In establishing an office space, this would support SPREP to have project and technical staff more easily based in the North Pacific. The office is now there however is without communication IT equipment to enable virtual engagements (one to one or group) with stakeholders in the neighbouring countries. SPREP's engagement with these countries on their country programmes is currently at a bilateral level and have yet to formally explore with them opportunities and efficiencies that can be gained from sub-regional / multi-country collaborations in developing projects and training and learning programmes. Typically face to face engagement for 'talanoa' (discussions) brings best results in effectively and efficiently communicating, planning and reaching conclusions together.

Addressing the problem: Strengthening of the NDA and Direct Access Entities

The proposed project asserts that IF RMI has enhanced capacity THEN it will effectively implement its institutional and operational climate finance functions and access more climate finance BECAUSE RMI is able to submit more climate finance proposals to action climate resilience priorities.

To enhance capacity this proposal sets out to do the following:

² The CROP functions as a coordination mechanism between the heads of regional organisations in the Pacific and as a high-level advisory body to provide policy advice and may assist in facilitating policy formulation at national, regional and international level. CROP Agencies include Forum Fisheries Agency, Pacific Aviation Safety Office, Pacific Power Association, Pacific Islands Development Program, The Pacific Community (SPC), SPREP, Pacific Tourism Organisation, University of the South Pacific and Pacific Islands Forum Secretariat.

³ Rapid Assessment of Candidates for GCF Accreditation in the Republic of the Marshall Islands FINAL DRAFT FOR REPUBLIC OF MARSHALL ISLANDS USAID Climate Ready sub-Activity: Support NDA Office to undertake a rapid assessment of candidates for GCF accreditation including a preliminary capacity gap assessment (RMI-19-12002)

⁴ A rapid assessment of the Marshall Islands Development Bank was also undertaken.

1. Build capacity of the CCD, TTEC and PRC to effectively fulfill their roles through

Output 1.1	<ul style="list-style-type: none"> ○ Activity 1.1.1.1a A Readiness team (Coordinator and Support Officer) to support the NDA/CCD, TTEC and PRC with the implementation and embedding of the new mechanisms endorsed in 2021 for GCF and climate finance activity. This includes secretariat support to ensure record of meeting decisions and outcomes. <p>This additional capacity will also support the NDA and committees with adapting and enhancing their processes when gaining more experience and understanding from training and testing the new processes under this same output, as well as from knowledge gained from activity under Output 5.1, and as changing government wide processes develop.</p> <p>It is important to note the value of these positions as they will provide the additional capacity and technical support for the NDA and RMI to ensure it has the appropriate mechanisms in place to engage effectively with the GCF. The Readiness Coordinator will be coordinating and driving the implementation of RMI's GCF climate finance mechanisms and also supporting the NDA to integrate these into government processes and plans to enhance overall donor engagement mechanisms evolves under the RMI Partnership plan.</p>
	<ul style="list-style-type: none"> ○ Activity 1.1.1.b Access and participation in training and learning to increase awareness on project management and climate finance relevant to their roles. This will directly benefit 15 personnel (from CCD, TTEC and PRC) and aims to provide a mix of formal training (accredited courses) and informal learning (information sessions e.g. sessions with Donors, Accredited Entities, partners on Gender or ESS). <p>The increased awareness and understanding is expected to improve the effectiveness of members in their respective roles and thereby the effectiveness of the NDA and committees to coordinate, guide and support effort to secure climate finance.</p> <p>Part of the activity here is to look at establishing arrangements with local and regional training institutions for ongoing training.</p> <p>Consideration of face to face training has been included with a travel budget for two trips. International travel in the Pacific is currently restricted however it is the NDA's preference to retain the travel budget should the situation change during the life of the project and training and learning opportunities arise as there was pre-COVID.</p> <p>Unused travel budget will be returned to the GCF at the close of the project if it cannot be reallocated to enhance this or another output within the scope of this project.</p>
	<ul style="list-style-type: none"> ○ Activity 1.1.2 Establishment of a centralized project information system accessible to the committees to track and monitor progress against the country programme. Current and appropriate information on progress against the project pipeline and country programme will support the NDA and committees to be efficient in their roles and make well informed decisions to support progression of action. <p>The intention is to develop a 'group or page' for the CCD or NDA on the RMI Data Portal that was revived under the first readiness project (https://rmi-data.sprep.org/) as a central web portal for government staff and departments to deposit and access data and information. This will house a simple excel-based project information system, to be developed by the Readiness Coordinator, where progress on projects and the country programme can be updated and accessed by staff as deemed appropriate by the NDA and committees.</p> <p>The Readiness team capacity provided by this project will maintain currency of information in this system, as well as train users to access and update project information. Extensive training on the system was delivered during the first</p>

	<p>Readiness project and so refresher training is expected to occur with visits to key individuals within ministries that have current projects in the pipeline or in implementation. No separate venue and catering budget is required.</p> <p>Further to this the Readiness team will investigate more sophisticated system options on their benefit to supporting RMI to coordinate, manage and monitor its climate finance activities, compability with RMI's IT systems and existing web-portals/sites and in consultation with partners working across the RMI Plan.</p>
	<ul style="list-style-type: none"> ○ Activity 1.1.3 Development and endorsement of a CCD Corporate Plan to resource the Director with personnel and systems adequate to deliver its mandate, including climate finance responsibilities. <p>This enables the CCD Director / NDA to establish his team and plan for the longer term, as well as maintaining and strengthening capacity to deliver. This will also provide an exit strategy from reliance on readiness grants for long-term personnel in this capacity.</p> <p>The corporate plan will be developed through a TA with consultation process (per workshops) and provide a strategy for implementation including workplan and milestones.</p>
Output 5.1	<ul style="list-style-type: none"> ○ Activity 5.1.1 Incorporation of best practices and lessons learned from other countries and their mechanisms for effectively engaging with the GCF. <p>The Readiness team will research and stocktake best practices from the region and other SIDs and work with the CCD, committees and relevant stakeholders (e.g. sector project focal points) to determine best practices and learning to be implemented in order to strengthen institutional capacity building and coordination, direct access, and pipeline development. The Readiness team will integrate and implement the improvements with the teams.</p> <p>Please note this is a cross-cutting activity. Whilst a key focus for this activity will be to look at best practices at the NDA level, it is possible for the project to also integrate learning into other areas (e.g. DAE accreditation, country progress implementation).</p>

2. Build capacity of national and regional entities to support RMI in developing the pipeline and effectively implement climate finance activities:

Output 1.2	<ul style="list-style-type: none"> ○ Activity 1.2.1 Develop and commence implementation of a strategy and action plan for the Ministry of Finance towards achieving DAE accreditation. Under this proposal the target is for Ministry of Finance to complete the first stage of the GCF accreditation process. <p>This builds upon the rapid assessment completed in 2019 by USAID Climate Ready which broadly identified the gaps. The Readiness Support Officer provides supporting capacity to the Ministry of Finance and international consultants with sourcing required information, supporting arrangement of meetings, uploading to the OAS system.</p>
	<ul style="list-style-type: none"> ○ Activity 1.2.2 Develop and commence implementation of a North Pacific multi-country climate finance strategy and action plan between countries and direct access entity SPREP to strengthen engagement with the GCF through development of multi-country projects and structured support with project implementation. <p>This will be led by SPREP's Project Coordination Unit in its role as the climate financing unit and GCF focal point. This will benefit SPREP, RMI and neighbouring North Pacific countries to refine and update their entity work programme and</p>

	<p>country programmes respectively and assist SPREP with the planning and programming of resources to progress their EWP and in turn support countries to progress implementation of their country programmes. Noting that not all countries in this sub-region have developed a final country programme with the GCF, the activities here will also support them with the development or finalization of their CPs.</p> <p>A SPREP PCU lead will undertake this activity and travel costs to support this activity have been included under the SPREP Multi-country Readiness proposal.</p> <p>As part of commencing implementation of the strategy the project will determine project management training needs and develop a training plan for project staff in these countries. Training will then be delivered to climate change project focal points via online learning and accessible training options to support project development and implementation – this is to be done in collaboration with the Pacific Climate Change Center and other training providers.</p>
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3. Develop Country Programmes to guide programming of readiness support resources

Output 2.1	<ul style="list-style-type: none"> ○ Activity 2.1.1 Identify map and prioritise readiness needs to provide a strategic approach to programming readiness proposals. <p>This is to strengthen implementation of the country programme developed under the previous readiness project through expediting preparation and submissions for readiness support. This also supports the NDA to inform and prepare for a multi-year Readiness proposal that is being targeted for more strategic and efficient approach to utilizing GCF readiness support.</p>
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4. Pipeline Development

Output 4.1	<ul style="list-style-type: none"> ○ Activity 4.1.1a - c A concept note is developed and submitted to the GCF <p>Two project ideas were developed under the first readiness to the point at which it was deemed ready to be developed further with an Accredited Entity. On the assumption that the previous Readiness Coordinator will come on board with this proposed project, they will take a lead role from RMI in the development of the CN with the AE utilizing the learning and knowledge from the first readiness and gaining further learning in working with the AE. This will also involve relevant stakeholders (e.g. project focal point from agriculture sector, farmers, private sector if progressing the food security idea) in workshops and meetings for a participatory process in developing and validating the CN.</p> <p>This activity should trigger activation of mechanisms being implemented under Output 1.1 including determination of Accredited Entities to approach, endorsement of the CN for submission by the NDA following approvals and sign-off by the PRC and TTEC respectively.</p>
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Complementarity

This proposed project is part of ongoing work within the RMI government to streamline policies and processes to better manage global and foreign funding and oversight of projects in the pipeline and implementation.

Table 2 below provides more insight to how this project will interact and complement the work being led by ADB, with the World Bank, USAID and Government of Australia under the NDC Advance Support.

Table 1 -

Proposed project outputs	Complementarity with other projects and partners
<p>Output 1.1.1 - Key climate finance stakeholders i.e. CCD, TTEC and PRC deliver on their functions as outlined in the RMI Handbook</p>	<p>Project ideas and concepts progressed from the Mitigation and Adaptation Working Groups and that will come from National Adaptation Plan (NAP) are expected to go through the NDA processes when targeting the GCF.</p> <p>Activity under the NAP will also complement institutional strengthening of RMI for accessing climate finance through gender mainstreaming, environmental social safeguards and communication and engagement strategy activities being planned for it to deliver.</p> <p>Assessment of coordination and resource mobilisation under the NDC Advance support project may suggest tweaks to new processes developed under NDA that can be coordinated and implemented during this proposed project; Equally the assessment is expected to recommend for the processes developed by the NDA for GCF projects to be adopted for other international climate finance institution projects.</p> <p>With regards to training the NDC Advance Support project is expected to undertake a capacity building needs assessment of stakeholders in climate finance and projects. The UNDP Deep Dive project has suggested the provision of training yet to be specified however may not be relevant to training needs already identified for this project.</p> <p>Actual outputs, findings and learning from these projects will be incorporated into training activity to be organised under this proposed project. Information from past training needs assessments and feedback from staff on past training experience will also be used. These will form part of the framework to inform the stocktake of courses as per activity 1.1.1.1.b.</p>
<p>Output 1.1.2 - A centralised climate finance Projects information system established and operational to track and monitor projects and implementation of the country programme.</p>	<p>No other project or internal government action has focused on developing a project information database yet. The Readiness Coordinator is to work with the NDC Partnership Coordinator on the related activity to capture all climate change related projects in a simple database to be housed and accessed via the RMI Data Portal. The project will be investigating options of other systems and as part of this will be consulting with stakeholders under the RMI Partnership Plan.</p>
<p>Output 1.1.3 - Climate Change Directorate strategy to fulfil its function including role in climate finance.</p>	<p>There is no other project or internal government plan to support delivery of this output. However findings and recommendations from the climate finance roadmap expected from the ADB Advance support project will be included in the undertaking of activities against output 1.1.3. The climate finance road map is expected to include recommendations on 1) support to the development of the private sector and 2) long-term climate finance strategy for RMI that takes into account a) strategic external finance coordination and resource mobilization, and b) medium- to long-term climate finance scenarios.</p>
<p>Output 1.2.1 - MoF Strategy and plan for DAE accreditation is endorsed and the accreditation process is initiated.</p>	<p>Findings and recommendations from the climate finance roadmap expected from the ADB Advance support project (as outlined above) will be included in the undertaking of activities against output 1.2.1. - to long-term climate finance scenarios.</p> <p>This will feed into the gap assessment and development of a strategy and action plan and depending on the content of the output may help to minimise the time and funds required for the gap assessment stage of the activity. The findings from the rapid assessment undertaken by</p>

	USAID in 2019 has also been a guiding document for the shaping of this activity.
Output 1.2.2 - Regional DAE (SPREP) has improved presence and capability to support north Pacific countries with development, implementation and/or execution of national and sub-regional climate change projects.	<p>RMI NDA is supporting SPREP to strengthen its engagement and support to countries in the north Pacific sub-region with climate finance activities. This is further to RMI championing SPREP to establish an office in the sub-region in response to countries seeking greater engagement and support from the regional DAE.</p> <p>SPREP's proposed 'multi-country' readiness project has included travel costs for SPREP climate finance personnel to deliver the activities under 1.2.2 in this proposal.</p> <p>The project will look to the recommendations from the ADB Advance project to enhance the Pacific Climate Change Finance Assessment Framework (Aug 2014) which includes a focus on developing a long-term climate finance strategy for the Pacific region to consider and be aware of it.</p>
Output 2.1.1 - RMI Readiness needs are identified, prioritised and clearly articulated towards a more strategic readiness approach and roadmap to support RMI's 2050 climate ambitions	<p>The assessments being undertaken by the ADB Advance project on the Climate Finance Action Plan, PCCFA, Climate strategy, NAP and other climate change related roadmaps and plans will be utilised in the development of RMI's GCF Readiness Strategy/Roadmap.</p> <p>There is also expected a report to cover 1) policy and financial support required to catalyze the private sector investments in climate finance, 2) a typology of private sector investment potential in climate change mitigation, and 3) enablers for private sector investment in climate change adaptation which will assist to guide where Readiness could support the Private Sector.</p>
Output 4.1.1 - A project concept note developed and endorsed by the PRC/TTEC	This supports the development of a new project idea(s) identified during Readiness I and that are aligned to the country programme. The intention is to work with an Accredited Entity / Partner to be identified. These project ideas have not been revisited since readiness support under the first project.
Output 5.1.1 - Best practices with respect to institutional capacity building and coordination, direct access, and pipeline development are shared	No other project or partner is focused on this area.

3. LOGICAL FRAMEWORK

Outcomes	Baseline ⁵	Targets	Outputs	Activities (brief description)	Deliverables ⁶
<p>Objective 1: Capacity Building</p> <p>GCF recipient countries and relevant stakeholders set up adequate systems – human, technical and institutional – that enables them to fulfill their roles and responsibilities towards the GCF and enhance their ability to achieve their objectives</p> <p>Outcome 1.1</p> <p>Country NDAs or focal points and the network/systems that enable them to fulfill their roles, responsibilities and policy requirements are operational and effective</p>	<p>The NDA, Tile TII Eo Committee and new Review not effectively operational to fulfill their roles and responsibilities for overseeing RMI's climate finance activities.</p>	<p>Key climate financing units i.e. CCD, TTEC and PRC are fulfilling their roles as evidenced through two (2) evaluations undertaken at mid-term and end of project.</p> <p>(Mid-term is a desktop audit conducted by DP and the terminal evaluation can be done by the external evaluator paid for out of the DP fee – need to ensure this is in the DP fee budget)</p>	<p><u>Output 1.1.1</u></p> <p>Key climate finance stakeholders i.e. CCD, TTEC and PRC deliver on their functions as outlined in the RMI Handbook</p>	<p><u>Activity 1.1.1.1.a</u></p> <p>Coordinate implementation of NDA processes for climate financing activities. (this includes information sessions for TTEC and PRC members to raise awareness and understanding of climate financing processes and the NDA function⁷)</p>	<p><u>Deliverable 1.1.1.1.a</u></p> <p>a. annual progress reports from CCD highlighting achievements and progress against workplan (reporting six-monthly to SPREP)</p> <p>b. TTEC quarterly meetings and PRC meeting reports outlining decisions / concept notes presented for the approval process</p> <p>c. Report from Annual internal (RMI) evaluation on the NDA, TTEC and PRC to assess its effectiveness against its terms of reference⁸</p>

⁵ Please briefly elaborate on current baselines on which the proposed activities can be built on, processes that are in place that the current Readiness proposal can strengthen, or any gaps that the proposed activities would fill in. If more space is needed, please elaborate this in Section 4.

⁶ Please include tangible and specific deliverables for each activity proposed, please note that during implementation all deliverables should be included within the implementation reports for GCF consideration.

⁷ See Annex 1 Budget Plan, budget note E

⁸ An separate independent terminal evaluation of the project activities will be undertaken at end of the project.

Outcomes	Baseline ⁵	Targets	Outputs	Activities (brief description)	Deliverables ⁶
				<p>Activity 1.1.1.1b Undertake a stocktake of Project Management courses available in local Institution including RMI College, USP and those within the Pacific region and determine best suited training and arrangements for RMI NDA, TTEC, PRC staff/members.</p>	<p>Deliverable 1.1.1.1b</p> <ul style="list-style-type: none"> a. Stocktake Report b. Memorandum of Understanding (or equivalent) with a relevant institution(s) and/or trainer(s) for project management training and learning opportunities delivered to RMI NDA, CCD and TTEC and PRC members.
				<p>Activity 1.1.1.1c At least two NDA or PRC staff take Project Management courses in local training institutions (RMI college and University of the South Pacific or other to be suitable course/s)⁹.</p>	<p>Deliverable 1.1.1.1c</p> <ul style="list-style-type: none"> a. At least two NDA or PRC staff/members registered and successfully complete project management courses as evidenced through copies of course certification. b. Training report including an evaluation of the training.

⁹ Some flexibility needs to be exercised here for when appropriate training opportunities becomes available not only on the subject matter but also suitable to learning style. Training course or workshop could be in the form of online courses and/or face-to-face (including virtual) learning with international experts on climate finance management and project management. This could include a bespoke training programme for RMI if this option becomes feasible.

Outcomes	Baseline ⁵	Targets	Outputs	Activities (brief description)	Deliverables ⁶
			<p><u>Output 1.1.2</u> A centralised climate finance Projects information system established and operational to track and monitor projects and implementation of the country programme.</p>	<p><u>Activity 1.1.2.1</u> Develop and operationalise a simple information system within the RMI Data Portal for the NDA, TTEC, PRC to oversight and monitor climate finance projects¹⁰.</p> <p><u>Activity 1.1.2.2</u> Investigate and assess options for a more sophisticated system to suit RMI's IT systems and project information and monitoring needs.</p>	<p><u>Deliverable 1.1.2.1</u> a. RMI Data Portal link for Project Information System operationalised and progress on utilisation of the data portal is reported in annual reports.</p> <p><u>Deliverable 1.1.2.2</u> Report and endorsed plan for future project information system development.</p>
			<p><u>Output 1.1.3</u> Climate Change Directorate corporate plan developed and validated.</p>	<p><u>Activity 1.1.3.1</u> a. Develop a medium to long term corporate plan for the RMI Climate Change Directorate¹¹ b. Conduct two workshops to validate the CCD Corporate Plan through consultative process.</p>	<p><u>Deliverable 1.1.3.1</u> a. RMI Climate Change Directorate Corporate plan. b. Report on stakeholder consultations on the development and validation of the CCD corporate plan.</p>

¹⁰ This will be a simple system to be housed within RMI's Data Portal, based on the current excel-based project tracking system that should also be reviewed and updated with relevant stakeholders. Training to be provided to intended users within workshops/training costs under 1.1.1.. The Readiness Coordinator in the previous project was trained on how to manage the backend of the Data Portal and will work with assistance from the InFORM Help Desk to set up a page for the information system on the Portal.

¹¹ The corporate plan will be developed through a TA with consultation process (per workshops) and provide a strategy for implementation including workplan and milestones.

Outcomes	Baseline ⁵	Targets	Outputs	Activities (brief description)	Deliverables ⁶
<p>Outcome 1.2 Direct access applicants and accredited entities (DAEs) have established capacity to meet and maintain the GCF's accreditation standards; and accredited DAEs have the capacity to develop a pipeline of projects and effectively implement GCF-funded activities</p>	<p>Access to national and regional DAE is limited to 0 national entities and 3 regional entities where engagement with the regional DAEs have been limited to Readiness.</p>	<p>Ministry of Finance's completes the first stage of accreditation i.e. OAS application. One North Pacific multi-country climate finance strategy and action plan endorsed and implementation.</p>	<p><u>Output 1.2.1</u> MoF Strategy and plan for DAE accreditation is endorsed and the accreditation process is initiated.</p>	<p><u>Activity 1.2.1.1:</u> Undertake first stage of GCF accreditation through: a. Undertake a detailed capacity assessment of the Ministry of Finance's viability for GCF DAE accreditation b. formulate a strategy and plan of action for MoF to achieve accreditation. c. Implement actions to meet accreditation standards from the capacity assessment and plan Submitting application¹²).</p>	<p><u>Deliverable 1.2.1.1</u> a. Capacity assessment outlining gaps and areas for strengthening, establishing etc to meet accreditation standards b. Strategy and action plan c. GCF Accreditation application up to completion of OAS stage</p>
			<p><u>Output 1.2.2</u> SPREP—developed a climate engagement and action plan to support north Pacific countries with development and implementation of national and sub-regional climate change projects</p>	<p><u>Activity 1.2.2.1</u> Strengthen north Pacific (sub-regional) climate finance engagement and integration through: (a) operationalisation of the North Pacific sub-regional office to increase countries engagement in structured dialogues on climate finance actions and (b) Develop a north Pacific Climate Finance Engagement Strategy and Action Plan to improve access to climate finance and implementation against their country programmes and projects, and SPREP's EWP. <i>(Development and implementation of the strategy has budgeted for travel to</i></p>	<p><u>Deliverable 1.2.2.1</u> a. Report on the operationalisation of the North Pacific Office. b. Climate Finance Engagement Strategy and Action Plan (CFES&AP) developed. c. Mission report detailing development of the strategy and specific areas requiring redressing /re-enforcing of the strategy.</p>

¹² The Rapid Assessment indicates that as at 2019 the MoF has "major gaps in their capacity with reference to GCF requirements". Broadly known gaps are in areas of internal audit function, internal control framework, performance reporting and review, financial information system for regular reporting, oversight mechanisms for procurement, anti-money laundering, little to no capacity in terms of policies, processes and experience in project development and implementation. An assumption was made that most projects in RMI would fall in the C category and therefore no ESS Policy is needed should be reviewed.

Outcomes	Baseline ⁵	Targets	Outputs	Activities (brief description)	Deliverables ⁶
				<p>to engage with project focal points on-ground. Should face to face engagement not be possible during the life of this project e.g. if covid-related travel restrictions look to continue into 2023, the project will revert to virtual engagements and seek reallocation of funding for additional workshop to complete this activity).</p>	
				<p><u>Activity 1.2.2.2</u> a. Assess the training needs of countries in the north-Pacific on project management and develop a training plan with appropriate channels b. Implement the training plan¹³.</p>	<p><u>Deliverable 1.2.2.2</u> a. Project management analysis and plan for north Pacific countries. b. Report on Training including evidence of people training and evaluation report.</p>

Objective 2 Strategic Frameworks GCF recipient countries develop robust strategic frameworks to guide GCF investment in complementarity other climate financiers

GCF recipient countries have benefited from increased levels of awareness, knowledge sharing and learning that contribute to their developing and implementing transformational projects in low-carbon and climate-resilient development pathways

¹³ This activity will be undertaken in collaboration with the Pacific Climate Change Center (PCCC), which has a function to deliver capacity development programmes in adaptation, mitigation, climate services and project development and other online training service providers. Project management training is expected to include areas of monitoring and evaluation, reporting, project planning and so forth. Countries in the north-Pacific are RMI, Kiribati, Nauru, FSM and Palau. *Budgeted travel against this activity is to ground-truth needs, follow up actions and reinforce commitment countries under the sub-regional strategy. This extends the scope from what the Delivery Partner fees consider. The target group is personnel, from said countries, who are directly involved in the implementation of donor-funded climate change projects. The focus will be to assist current GCF financed projects /programmes in the sub-region to better execute its activities including enhancing the ability of the respective Teams to adapt to circumstances and develop sub-regional project management capacity. Training will be developed to complement any other training funded by other external organisations or donors.*

<p>Outcome 2.1 GCF recipient countries have developed Country Programmes to guide GCF investment and programming of readiness support resources</p>	<p>Country Programme completed with clear guidance on readiness support indicated.</p>	<p>A Readiness Strategy / Roadmap developed and integrated with the country programme.</p>	<p>Output 2.1.1 RMI Readiness needs are identified, prioritised and clearly articulated towards a more strategic readiness approach and roadmap to support RMI's 2050 climate ambitions.</p>	<p>Activity 2.1.1.1 Undertake a Readiness needs assessment and develop a Strategy/Road map to programme Readiness support.</p>	<p>Deliverable 2.1.1.1.1 RMI GCF Readiness Assessment Report and Readiness Strategy (Road Map)</p>
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Objective 4: Pipeline Development

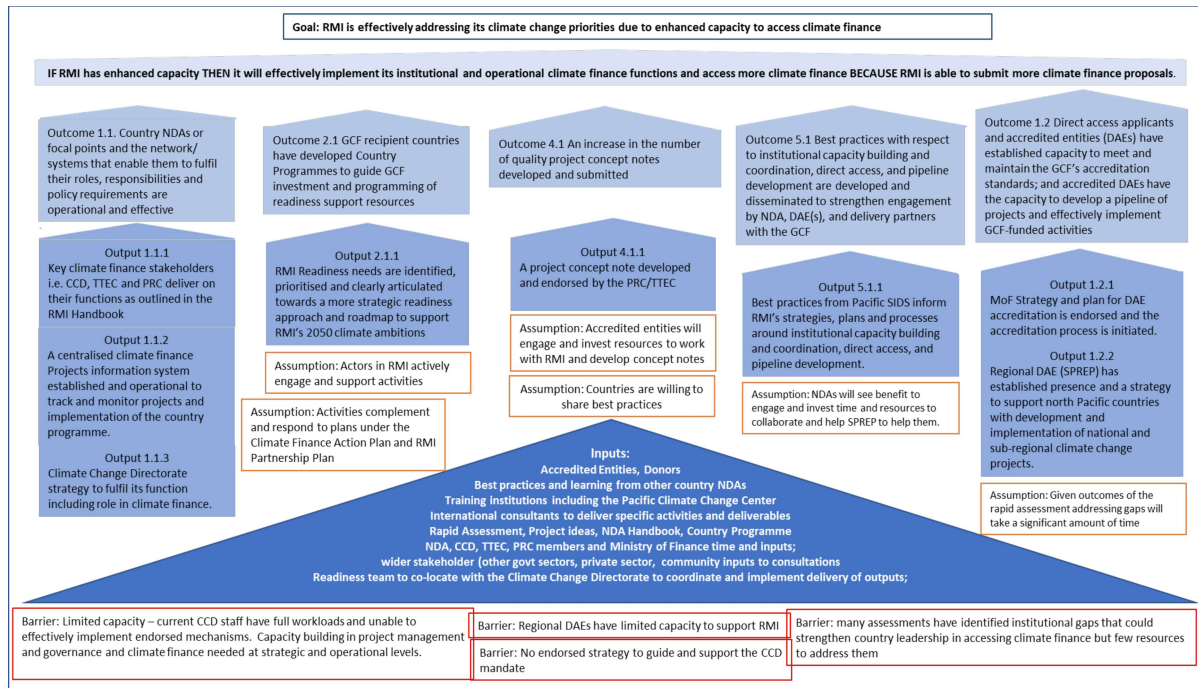
Emanating from strategic frameworks and entity work programmes, a transformational pipeline developed of quality concept notes and funding proposals, including LDCs, SIDS and African states, and direct access accredited entities

<p>Outcome 4.1 An increase in the number of quality project concept notes developed and submitted</p>	<p>2 project ideas developed by stakeholders in RMI under the first Readiness project</p>	<p>At least one project idea progressed into a new concept note with stakeholders in RMI and submitted to the GCF.</p>	<p>Output 4.1.1 A project concept note developed and endorsed by the PRC/TTEC</p>	<p>Activity 4.1.1.1 Activity 4.1.1.1a 'Identify and select the project idea for this Readiness project to support.' Activity 4.1.1.1b: Develop full concept note to include facilitation of 2 consultative workshops and informed justification from pre-feasibility study/ies in the absence of readily available and relevant data. Activity 4.1.1.1c "Conduct 1 workshop to validate project concept note"¹⁴</p>	<p>Deliverable 4.1.1.1.1 a. Documented evidence of PRC/TTEC approval of project idea for development. b. Project concept note (with all supporting documentation/annexes as required by the GCF. c. RMI No objection Letter in support of the concept Note</p>
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¹⁴ This activity should trigger activation of mechanisms being implemented under Output 1.1 including determination of Accredited Entities to approach, endorsement of the CN for submission by the NDA following approvals and sign-off by the PRC and TTEC respectively. The project idea should be one of which that has been scoped and worked through during RMI's first NDA Readiness project. Workshops will also be required towards development of the concept note e.g. for stakeholder consultations, on which the budget plan annex 1 should be referred to for available funds.

<p>Objective 5: Knowledge sharing and learning GCF recipient countries have benefited from increased levels of awareness, knowledge sharing and learning that contribute to their developing and implementing transformational projects in low-carbon and climate-resilient development pathways</p>					
<p>Outcome 5.1 Best practices with respect to institutional capacity building and coordination, direct access, and pipeline development are developed and disseminated to strengthen engagement by NDA, DAE(s), and delivery partners with the GCF</p>	<p>Knowledge and lessons learned from other SIDs and NDA not effectively incorporated into development and implementation of processes and projects.</p>	<p>At least two processes within the NDAs GCF coordination mechanisms are strengthened from knowledge gained and lessons shared by other NDA, countries, DAE</p>	<p>Output 5.1.1 Best practices from Pacific SIDS inform RMI's strategies, plans and processes around institutional capacity building and coordination, direct access, and pipeline development.</p>	<p>Activity 5.1.1.1 Undertake a stocktake, analysis and mapping of best practices from the region and other SIDS to inform the development and improvement of RMI's strategies, plans and processes around institutional capacity building and coordination, direct access, and pipeline development. The stock take exercise to also outline areas of complementarity with other similar readiness activities such as the WHO-RMI Readiness proposal and the RMI NAP.</p>	<p>Deliverable 5.1.1.1.1 Report on the effect of the shared knowledge and learning on the NDA's strategic and operational practice.</p>

4. THEORY OF CHANGE



The proposed project aims to contribute to RMI's goal to be effectively addressing its climate change priorities through securing climate finance.

To date RMI has been the most successful country in the Pacific region to access GCF financing with four full-sized projects approved, two multi-country and two national projects, totalling USD55.5 million dollars. Despite this RMI's access to climate finance and other types of vertical funding has been low¹⁵, given their climate change needs.

The problem for RMI is the limited capacity to effectively respond to growing interest from stakeholders on climate change actions and to lead actions to access climate finance. Barriers contributing to this are:

- Limited capacity in personnel and skilled personnel to implement and lead the new mechanisms developed and endorsed under the first Readiness project.
- Limited institutional capacity to enable RMI to engage and access donor funds directly. Whilst many assessments have been undertaken to identify the gaps, few efforts have progressed to address gaps in their entirety.
- Regional entities, more responsive to Pacific countries needs, have less capacity and resources than their international counterparts to respond.

The risks of these barriers not being addressed is continued reliance on external agencies to access climate finance and

Partnerships with external international agencies have brought valued expertise and donor funding to RMI. However, an off-set of this is lack of investment in building national and local capacity to access donor funds directly and lead

¹⁵ Development Finance Assessment (DFA for Republic of the Marshall Islands; commissioned by the MoF RMI and supported by the UNDP.

implementation of projects; A second off-set is that micro-projects are not as attractive many accredited entities – therefore actions deemed priority for RMI gain less support from partners to progress.

Council of Regional Organisations of the Pacific (CROP) agencies, such as delivery partner SPREP support countries and governments to progress their priorities and are often their preferred partners. These organisations however are small and have limited resources compared to their international counterparts operating in the region. Their capacity to fully engage is therefore limited and this is recognised by the island countries in the north Pacific.

This proposed project asserts that IF RMI has enhanced capacity THEN it will effectively implement its institutional and operational climate finance functions and access more climate finance BECAUSE RMI is able to submit more climate finance proposals.

This would be demonstrated through (i) the NDA and focal points effectively fulfilling their roles and responsibilities, (ii) national and regional direct access entities able to develop pipeline projects and implement funded activities; (iii) clear strategies to guide and catalyse action to implement the country programme and (iv) increased number of concept notes developed and submitted.

To do this the project focuses on building capacity and developing plans to catalyse progress towards the goal by

- Funding a Readiness team of two local consultants to work with the NDA and committees to implement the endorsed mechanisms and strengthen the platform, ensuring integration and complementarity with wider government processes, as well as to support implementation of the overall project.
- Provision of training to increase key decision makers understanding and awareness to be effective in their climate finance roles.
- Provision of expertise to support RMI Ministry of Finance progress towards DAE accreditation with the GCF.
- Provision of expertise to support RMI develop a Strategic Roadmap to efficiently and effectively programme the preparation of Readiness support requests to expedite support and strengthening national capacity to effectively lead, manage and contribute to implementation of the country programme.
- Support for regional DAE SPREP to improve engagement with RMI and neighbouring countries in the north Pacific and support with climate finance activities.
- Provisions to support the progression of project ideas, for undertaking pre-feasibility assessments and strengthening the concept note when working with an accredited entity.

A number of assumptions have been applied to the theory of change:

- Key actors will actively participate and cooperate i.e. the strategic and operational oversighting committees, TTEC and the Project Review Committee, staff members of the Climate Change Directorate, Ministry of Finance and others expected to engage and interact with the NDA mechanisms.
- The Readiness team are involved and have view of activity occurring under the RMI Partnership Plan and the Climate Finance Action Plan, to ensure this project's activities are aligned, opportunities to enhance outputs and outcomes are identified early and duplication of effort is avoided.
- National DAE accreditation cannot be achieved during the life of this project. In broad recognition of the breadth of work required, this project is ambitiously aiming to support the Ministry of Finance to complete the first stage of accreditation i.e. OAS Application.
- NDAs in the sub-region will see benefit in their involvement to engage in the project and therefore invest time and resources to do so.
- Accredited entities will engage and invest resources to work with RMI (and or the sub-region) to develop strong concept notes for submission to the GCF.

5. BUDGET, PROCUREMENT, IMPLEMENTATION AND DISBURSEMENT PLAN

5.1 Budget plan

Please refer to Annex 1

5.2 Procurement plan

Please refer to Annex 1

5.3 Implementation Plan

Please refer to Annex 1

5.4 Disbursement schedule

Please specify the proposed schedule for requesting disbursements from the GCF. For periodicity, specify whether it's quarterly, bi-annually or annually only.

Readiness Proposal that requires a bilateral Grant Agreement

- The first disbursement *amounting* USD \$325,000 will be transferred upon approval of the readiness request and effectiveness of the Grant Agreement;
- The second disbursement *amounting* USD \$145,200 will be transferred upon submission of an interim progress report [and audited financial report]¹⁶, in form and substance acceptable to the Fund, [including an audited expenditure statement]; and
- The third disbursement *amounting* USD \$52,300 will be made upon submission of a completion report and financial report, in form and substance acceptable to the Fund, including an audited expenditure statement.

The request for is for annual disbursements.

¹⁶ For second disbursement, audited financial report and audited expenditure statement are only required for readiness and preparatory support proposals expected to last over 12 months.

6. IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

6.1 Implementation arrangements

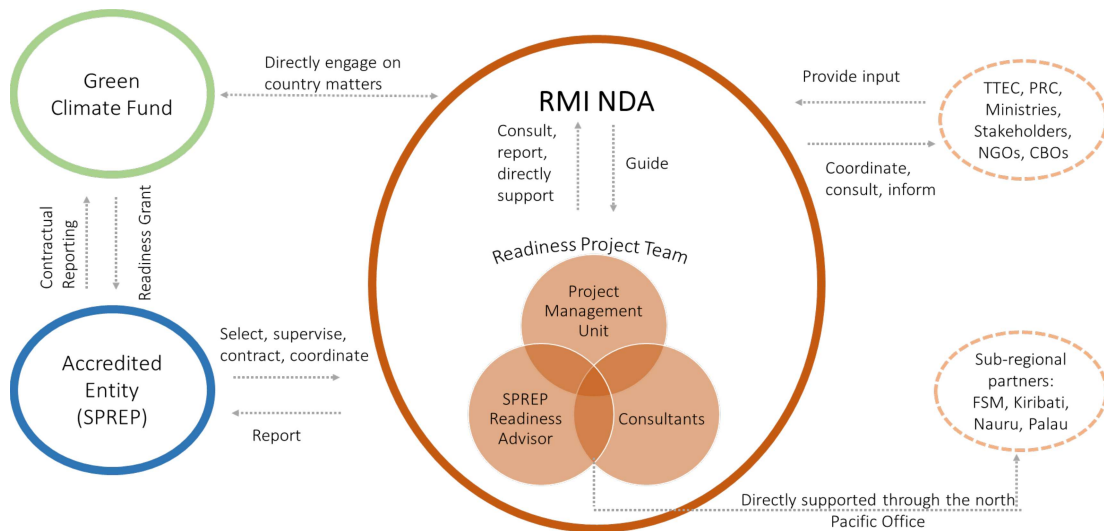
Please describe how implementation arrangements will be made and how funds will be managed by the NDA and/or the Delivery Partner.

The implementation arrangements for the project has SPREP as the Delivery Partner with responsibility for the implementation of the readiness support and for carrying out procurement of goods and services, contractual arrangements, monitoring and reporting, financial and fiduciary management, and the selection, supervision and contracting of work undertaken in country by the Readiness Project Team, all in accordance with the Delivery Partner’s policies and procedures and with the Bilateral Grant Agreement to be signed with GCF or its fiduciary agent.

The Readiness Project Team consists of the Readiness Coordinator (local consultant), Readiness Support Officer (local consultant) and the SPREP Project Manager. Additional consultants (international or local) will be brought on board according to activity. The project team is responsible for delivery of the on-ground activity and is expected to consult and work with the NDA, provide progress updates to both the NDA and SPREP PCU and contribute to formal project reports. Budget updates and financial matters will form part of regular updates within the Readiness Team, however SPREP will be responsible for finance management and accounting. This arrangement has worked well for RMI under Readiness I and was requested to continue for this proposed project.

In terms of this proposed project, communication with the GCF is represented by SPREP and the NDA. The NDA has direct dialogue with the GCF on country matters and is expected to have multi-laterals with SPREP and the GCF as and when necessary for the benefit of the project.

SPREP’s Project Coordination Unit as the GCF focal point will maintain an overseeing role and support as is appropriate and have co-sign-off on project deliverables and reports with the NDA



6.2 Implementation and execution roles and responsibilities

Please briefly describe how the activities will be implemented and outputs delivered by project staff and consultants.

A project implementation plan will be developed during the inception period of this project. This will clearly outlay the project for the Readiness team, consultants, NDA, SPREP colleagues and other interested parties what the project is about and how it will be implemented.

At both operational and strategic levels SPREP and NDA are responsible for successful implementation of the project. Whilst SPREP is ultimately responsible for implementation of the activities under the bi-Lateral agreement with the GCF and/or its fiduciary agent, successful implementation of the Readiness activities requires ownership and leadership from the NDA as well. For quality assurance of deliverables produced sign-off will involve SPREP's Focal Point to the GCF, the Project Coordination Unit.

The project will engage two local consultants to form the in-country Readiness team (Coordinator and Support Officer) and be attached to the Climate Change Directorate as additional capacity to support the NDA to implement and strengthen RMI's mechanisms for engaging with the Green Climate Fund. Three international consultants will join the team and training institutions engaged to implement activities and deliver outputs as outlined in the table below.

It is important to note the role of the Readiness team in-country as they provide the critical capacity and technical support to the NDA and RMI in progressing its efforts to develop effective projects and secure climate finance to address their climate change ambitions. The Readiness Coordinator will be coordinating and driving the implementation of RMI's GCF climate finance mechanisms and also integrating these into plans as they take shape under the RMI Partnership plan. The first Readiness project effected some efficiencies in the current structure which created a Project Review Committee to support the TTEC in providing closer oversight and appraisal of the projects.

All consultancies will be contracted and managed by the delivery partner SPREP. A training or mentoring component is typically built into the terms of references of international consultants to contribute to capacity building needs in-country as appropriate.

Position	Duties / activities and deliverables	Qualifications and Essential Skills
<p>Readiness Coordinator</p> <p>(Local consultant)</p> <p>Rate: USD 245 Individual daily rate</p> <p>Engaged full time over 24 months</p>	<p>The Coordinator has responsibility to deliver across all project outputs with sole responsibility of the following outputs as well:</p> <p>1.1.1 - Key climate finance stakeholders i.e. CCD, TTEC and PRC deliver on their functions as outlined in the RMI Handbook</p> <p>1.1.2-A centralised climate finance Projects information system established and operational to track and monitor projects and implementation of the country programme.</p> <p>1.2.1 – work with the consultants and Ministry of Finance on preparation of information for uploading to the OAS system.</p> <p>1.2.2 Support to NDA for preparation and participation in development of DAE strategy.</p> <p>4.1.11–lead the oversight and development of concept note from RMI in working with the Accredited entity and relevant government department to gather and prepare and input to content for the concept note development.</p>	<p>Qualifications</p> <ul style="list-style-type: none"> Post graduate diploma or a degree in environment science, climate change, governance and public policy, international development, business administration or similar field. <p>Experience</p> <ul style="list-style-type: none"> At least 6 years of relevant experience preferably in environment and climate change, governance and policy, project management, institutional capacity development, and finance in government institutions, NGOs and / or development agencies on similar assignments. Proven experience in project management and coordination with the Government of RMI, private sector, NGOs, and other development agencies nationally and internationally. Proven track record in stakeholder engagement and consultation with government agencies, development agencies, NGOs, private sector, and the community. Experience in preparing reports, meeting minutes, and undertaking research and data collection and managing information.

	<p>5.1.1-Best practices from Pacific SIDS inform RMI's strategies, plans and processes around institutional capacity building and coordination, direct access, and pipeline development</p> <p>This Coordinator will have responsibility over all on-ground activities across Outcomes 1 and 5 including to supervise consultants' delivery of outputs on-ground, provide regular progress updates to SPREP and the NDA and contribute to project reporting and provide regular updates.</p>	<ul style="list-style-type: none"> • Experience and familiarity in using InFORM Data Portal (front and backend) advantageous.
<p>Readiness Support Officer</p> <p>(Local consultant)</p> <p>Rate: USD 125 Individual daily rate</p> <p>Engaged for 415 days over 24 months</p>	<p>This position is to provide support to the Readiness Coordinator in implementation across whole-of-project.</p> <p>Project outputs which require significant support from this position are</p> <p>Provision of secretariat support to Government Director and committees in the implementation of NDA's mechanisms</p> <p>1.1.2 Maintain and coordinate update and usage of RMI Project Information system.</p> <p>1.2.1 Provision of support to Ministry of Finance to work with external consultants in collating documentation and preparing application for OAS.</p> <p>1.2.2 Support to consultants to facilitate workshops (coordinating participants, rapporteuring, gathering information etc)</p> <p>4.1.1 Provision of support to Government ministry/department and consultants to research and collate information and data for the development of project concept notes.</p> <p>5.1.1 Provision of support to the NDA and Readiness Coordinator collecting, mapping and assessing best practices in the region and then to support integration of selected practices into existing mechanisms/systems.</p>	<p>Qualifications</p> <ul style="list-style-type: none"> • Bachelors degree, diploma or equivalent in governance and public policy, international development, business administration or similar field. <p>Experience</p> <ul style="list-style-type: none"> • At least 3 years of relevant experience preferably in governance and policy, project coordination or support, institutional capacity development, and finance in government institutions, NGOs and / or development agencies on similar assignments. • Proven experience in project support and with the Government of RMI, private sector, NGOs, and other development agencies nationally and internationally. • Proven track record in stakeholder engagement and consultation with government agencies, development agencies, NGOs, private sector, and the community. • Experience in preparing reports, meeting minutes, and undertaking research and data collection and managing information.
<p>Professional Service / Firm Company</p> <p>(International)</p> <p>Rate: USD 600 daily rate</p>	<p>Against Output 1.1.1 a Training institution to provide accredited courses in project management and climate finance.</p> <p>Some flexibility to be exercised here for when appropriate training opportunities are identified during the stocktake activity as this may involve more than one institution.</p>	<p>Qualifications:</p> <ul style="list-style-type: none"> • Internationally recognised Training / Learning institution. • Project management courses suited to the learning needs of RMI CCD, TTEC, PRC and project focal points as • Offers options on learning modalities (online, face-to-face, blended)

Engaged part time over 18 months		
<p>Institutional Specialist (International Consultant)</p> <p>Rate: USD 600 Individual daily rate</p> <p>Engaged 20 days</p>	<p>The consultant will be responsible to deliver against Output 1.1.3. to develop a Strategy for the RMI Climate Change Directorate to deliver its mandate which includes climate finance responsibilities. This will incorporate an exit strategy from reliance on readiness grant support for staffing.</p>	<p>Qualifications:</p> <ul style="list-style-type: none"> • Postgraduate qualifications in social sciences, governance and public policy, development studies, management or similar field <p>Experience:</p> <ul style="list-style-type: none"> • Minimum of ten (10) years proven experience related to climate change development, finance and development of national plans and strategies. • In-depth understanding and knowledge of the Green Climate Fund and other international climate funding mechanisms. • A minimum of 2 projects demonstrating relevant working experience in the areas of institutional strengthening and / or climate financing.
<p>Consortium of Consultants or Firm (International Consultant)</p> <p>Rate: USD 500 daily rate</p> <p>Engaged part time over 22 months for 200 days</p>	<p>The consultant(s) will be responsible to deliver against output 1.2.1 in working with the Ministry of Finance (MoF) to complete the application for the first stage of the DAE accreditation process.</p> <p>The consultant(s) are to</p> <ul style="list-style-type: none"> - Undertake a detailed capacity gap assessment of MoF - Develop MoF's strategy and plan to achieve DAE accreditation. - Implement planned actions to enable MoF complete the OAS application. 	<p>Qualifications:</p> <ul style="list-style-type: none"> • Postgraduate qualifications in governance and public policy, financial management, corporate policy development, international development studies, management or similar field <p>Experience:</p> <ul style="list-style-type: none"> • Minimum of ten (10) years proven experience related to organisational development, finance and development of fiduciary policies and project management. • In-depth understanding and knowledge of the current climate finance architecture of the Green Climate Fund and other international climate funding mechanisms, including the accreditation requirements. • Demonstrates extensive and relevant working experience in the areas of institutional strengthening and / or climate financing.
<p>Strategic Planning Specialist (International Consultant)</p> <p>Rate: USD 600 Individual daily rate</p> <p>Engaged for 30 days</p>	<p>The consultant will be responsible to deliver against output 2.1.1 to develop RMI's Readiness strategy/roadmap.</p> <p>Key responsibilities will include:</p> <p>(a) assessment of RMI'S readiness needs against the Country Programme and national policies and strategies;</p> <p>(b) development of a strategy/roadmap for programming Readiness support needs in-line with the Country Programme, national policies and strategies and the training needs assessment(s).</p>	<p>Qualifications:</p> <ul style="list-style-type: none"> • Postgraduate qualifications development studies, social sciences field, public policy, or related discipline. <p>Experience:</p> <ul style="list-style-type: none"> • At least 10 years' experience in strategic planning and management. • Demonstrated experience with Country Strategic Frameworks, policy development and / or related document development and institutional

		<p>strengthening and monitoring, preferably in a Pacific context.</p> <ul style="list-style-type: none"> • Minimum of 7 years' experience with climate mitigation and adaptation projects • Demonstrated experience working with a cross-section of stakeholders including senior government officials in SIDS and LDCs, donor governments and organizations as well as regional organizations. • Demonstrated experience and knowledge of the Green Climate Fund, and other donors such as the Adaptation Fund, development banks and other multilateral development agencies.
<p>Subject Matter Expert (International Consultant)</p> <p>Rate: USD 600 Individual daily rate</p> <p>Engaged up to 55 days</p>	<p>The specific requirements for this consultancy for output 4.1.1 will be dependent on gaps in the Project ideas/concepts as identified by the Accredited Entity (selected by RMI) to develop and submit concept notes.</p>	<p>Qualifications:</p> <ul style="list-style-type: none"> • Postgraduate qualifications in related field / discipline. <p>Experience:</p> <ul style="list-style-type: none"> • Demonstrate relevant experience in required field / discipline. • Demonstrate relevant experience in a Pacific context. • Demonstrate experience with climate mitigation and adaptation projects. • Demonstrated experience working with a cross-section of stakeholders including senior government officials in SIDS and LDCs, donor governments and organizations as well as regional organizations.

6.3 Risks and mitigation measures

A risk assessment has been conducted for the project as a low risk project. Potential risks and mitigation measures are summarized in the table below and have informed the planning for this proposed Readiness project. This will be revisited during an inception period once the Readiness team are on-board.

Risk category	Specific risk(s) / Risk(s) description	Probability of occurrence (low, medium, high)	Impact level (low, medium, high)	Mitigation action(s)	Entity(ies) responsible to manage the risk(s)
Procurement	Delay in recruiting appropriately skilled local consultant for the Readiness Coordinator role	LOW	HIGH	Terms of Reference to be developed and signed off prior to project effectiveness date. Local advertising including NDA to disseminate to networks.	SPREP
Procurement	Delay in procuring appropriately skilled international consultants	MEDIUM	HIGH	Terms of References to be developed and signed off prior to project effectiveness date. Advertising to occur as early as prior to project effectiveness however no contracts to be signed until project is fully effective.	SPREP
Implementation	Difficulty in managing projects from a distance.	MEDIUM	MEDIUM	Lessons learned from previous project has improved support capacity from SPREP (Readiness Adviser and Project Coordination Unit). Improved inception period and work arrangements	SPREP

Risk category	Specific risk(s) / Risk(s) description	Probability of occurrence (low, medium, high)	Impact level (low, medium, high)	Mitigation action(s)	Entity(ies) responsible to manage the risk(s)
				<p>between SPREP PM, in-country Readiness Team and NDA.</p> <p>Increased SPREP presence through this project (see output 1.2.2) provides additional support and engagement to the project as well.</p>	
Implementation	Slow endorsement of reports and recommendations and plans of action, by senior managers and Ministers may take longer, working against project timelines.	MEDIUM	MEDIUM	The project will ensure engagement and regular updates to the TTEC and stakeholders under the RMI partnership. This will better inform the decision makers on requirements for effectiveness and efficiency.	SPREP / Readiness team RMI NDA
Implementation	Low-level of stakeholder interest and participation in the implementation of the project.	LOW	LOW	Activities have included catered workshops which is an important part of Pacific culture in bringing people together. Workshops and consultations etc will be grouped (where possible) to avoid consultation fatigue and	SPREP / Readiness team

Risk category	Specific risk(s) / Risk(s) description	Probability of occurrence (low, medium, high)	Impact level (low, medium, high)	Mitigation action(s)	Entity(ies) responsible to manage the risk(s)
				travel or availability related barriers.	
Implementation	Other projects and partners impacting implementation or duplicating activity and efforts	MEDIUM	MEDIUM	<p>The Readiness Coordinator to participate in RMI Working Groups and have regular updates with the NDC Partnership Coordinator.</p> <p>Quarterly meetings to be held with the NDC Partnership Coordinator and Readiness team.</p>	SPREP / Readiness team
Health / Implementation	<p>COVID-19 impacts on travel in the Pacific continues. Regional and International Travel is still restricted (at time of writing) with no certainty on when restrictions will lift or ease.</p> <p>Other health epidemics prevent travel (e.g Dengue outbreak)</p>	MEDIUM	MEDIUM	<p>Consideration has been given to where consultancies could be packaged to minimize the number of external experts needed to travel in country.</p> <p>Funds for internet costs have been budgeted to ensure virtual working is possible, however this is also reliant on other user.</p> <p>If necessary Consultants working arrangements will incorporate more virtual working practices which has become more 'normal'</p>	SPREP

Risk category	Specific risk(s) / Risk(s) description	Probability of occurrence (low, medium, high)	Impact level (low, medium, high)	Mitigation action(s)	Entity(ies) responsible to manage the risk(s)
				<p>since onset of the COVID-19 pandemic.</p> <p>Unused travel budget will be returned to the GCF at the close of the project if it cannot be reallocated to enhance this or another output within the scope of this project.</p>	
Financial	<p>Fraud and funds misappropriation, corrupted procurement, contract and human resource management processes</p> <p>This includes risk of money laundering, terrorist financing, or prohibited practices.</p>	LOW	LOW	<p>Management of the grant and activity is with SPREP. The organisations' fiduciary policies and processes were recently assessed by the GCF who approved SPREP's re-accreditation.</p> <p>SPREP operates a stringent financial management system, including its procurement policy and process to identify, assess and mitigate any risk of money laundering, terrorist financing or prohibited practices.</p>	SPREP

Risk category	Specific risk(s) / Risk(s) description	Probability of occurrence (low, medium, high)	Impact level (low, medium, high)	Mitigation action(s)	Entity(ies) responsible to manage the risk(s)
Financial	The cost of travel when borders open is unknown. Potential for prices to be substantially higher than budgeted	MEDIUM	MEDIUM	Consideration has been given to where consultancies could be packaged to minimize the number of external experts needed to travel in country.	SPREP
Disaster risk	Natural Disasters	LOW	LOW	RMI is not in the normal pathways for cyclones and is not as susceptible to natural disaster compared it other PICs. RMI has disaster management office that will provide advice in the event of such disaster	RMI NDA

6.4 Monitoring

A draft Monitoring and Evaluation Plan has been developed for the project, see Annex 2. This will be updated and implemented during the first two months of the Readiness project.

As part of the M&E plan specific reporting timelines will be finalized and scheduled between the Readiness Team and SPREP for timely completion and submission of reports. An example for 24-month project is provided below.

Whilst the NDA will be provided regular updates from the Readiness Team, formal periodic meetings will be held between the NDA, Readiness Team (including SPREP Project Manager) and the SPREP Project Coordination Unit (PCU, focal point for GCF) to review and discuss project progress.

For the avoidance of doubt, monitoring, reporting and evaluation of the activities with regard to this proposal will be subject to the grant agreement to be entered into between the Delivery Partner and the GCF or an agent of the GCF.

M & E Activities	Responsible	Receiver	Timeframe
Finalised Project Implementation Plan and M&E plan	SPREP Project Manager	SPREP PCU	By end of month 3
Interim Progress Report (six monthly)	SPREP Project Manager	SPREP PCU	Six months after commencement date of the project.

Interim Progress Report	SPREP Project Manager	SPREP PCU and GCF/UNOPs	At the end of Y1 and end of project implementation
Audit Report	Independent / External Auditor	SPREP Finance and GCF/UNOPs	At the end of Y1 and closing of project (24 months)
Project Completion Report	SPREP Project Manager	SPREP PCU and GCF/UNOPs	Within 3 months of the project completion date

6.5 Other Relevant Information

RMI and SPREP Cooperation

SPREP with RMI has a long history of cooperation well before working together on GCF Readiness projects.

RMI is a Member country of SPREP and has other arrangements in terms other climate change and environment related activities and partnerships. It is on such a long cooperation backdrop that RMI has made a choice for SPREP to work on their Readiness.

The RMI NDA formally requested by letter for SPREP to be the delivery partner for this proposed project in 2020 and requested for SPREP to support with the development of the project and proposal.

The roles and responsibilities of the NDA and delivery partner will be revisited and agreed to by each party through a letter of agreement.

To avoid any possible conflicts of interest deriving from the delivery partner's role as an accredited entity, the prioritization of investments and projects in the context of this readiness grant, will be made through a broad consultation process with relevant stakeholders, including other potential implementing entities. The final validation of these priorities will be carried out through the countries' own relevant coordination mechanism and institutional arrangements, with the participation of other government agencies, as well as representatives from civil society and private sector as the NDA deems relevant, to ensure chosen priorities are fully aligned with national plans and strategies and adequately includes inputs from consulted stakeholders.

Project Start Date

After the approval of the Grant, a notification letter of effectiveness will be sent by the GCF to NDA with copy to the Delivery Partner. The date of such notification by the GCF will be treated as the start date for project implementation. From that date, the end date of implementation will be calculated considering the total duration of the project as presented in the approved proposal. It was with anticipation that this proposed project commence soon after the project that ended in May.

Documentation and Data Management

An online portal will be accessible to all appropriate project personnel to Management to

Storage and accessibility of all data gathered during the course of this proposed project will be determined in the first three months of the project.

Full details for both Document and Data management will be outlined in the project implementation plan.

Anti-Money Laundering /Counter Financing of Terrorism

SPREP adheres to the host country (Samoa) policy on anti-money laundering. This has been also considered in the SPREP renewal of its accreditation with the Adaptation Fund in 2019 and the upgrade with the GCF accreditation that was passed by the GCF Board in February 2019. As such, all climate finance projects including readiness are to adhere to this policy.

UN Sanctions List

The jurisdiction(s) are not subject to United Nations Security Council (UNSC) restrictive measures.

Whistle blower Policy

SPREP's Fraud Prevention & Whistle blower Protection Manual provides guidance on reporting of suspected violations or misconducts. The general principle on Whistle-blower Protection between SPREP and GCF is the same as both do not tolerate retaliation against whistle-blowers and witnesses. The Manual details the internal mechanism of reporting any wrong doings, violations, misconduct, and malpractice by employees. Complaints can be received in several different ways such as writing a letter, face to face, phone call or sending an email to a dedicated fraud email address. In any case the complainant is not obliged to reveal his or her identity (anonymity).

For external stakeholders reporting channels can be viewed on the website by visiting: <https://www.sprep.org/accountability/fraud-prevention-a-whistleblower-policy>. There are dedicated emails addresses for fraud and whistle-blowers.

SPREP's manual details the process from reporting a complaint to acknowledgement of the complaints, the responsibility of the investigations to preparation of the investigation and the conducting of the investigation. This ensures all complaints are recorded and acted upon.

Exit Plan

The strategies and plans to be developed under this proposed project will each consider exit plans and sustainability measures as appropriate. Relevant lessons learned and knowledge will also be captured to inform exit plans as part of overall strategizing and planning to achieve the targeted change.

The Climate Change Directorate Strategy (output 1.1.3) seeks to support the establishment of the Directorate with personnel and systems adequate to deliver its mandate, including climate finance responsibilities. This will enable the CCD Director / NDA to structure an efficient staff team, build and strengthen capacity needed and plan for the long term. This will also provide an exit strategy from reliance on readiness grants for long-term personnel in this capacity.

The Readiness Strategy/Road map (output 2.1.1) aims to assist RMI in mapping future Readiness projects more efficiently to support and catalyse implementation of the Country Programme. The activity to support the Ministry of Finance in the first phase of accreditation application to the GCF sets out an ambitious task to complete this in two years and in the life of this project.

Lessons learned and knowledge gained from the project will also be captured through project reporting and be utilized to adaptively manage the project to ensure outputs are achieved.

READINESS & PREPARATORY SUPPORT

BUDGET, PROCUREMENT & IMPLEMENTATION PLAN



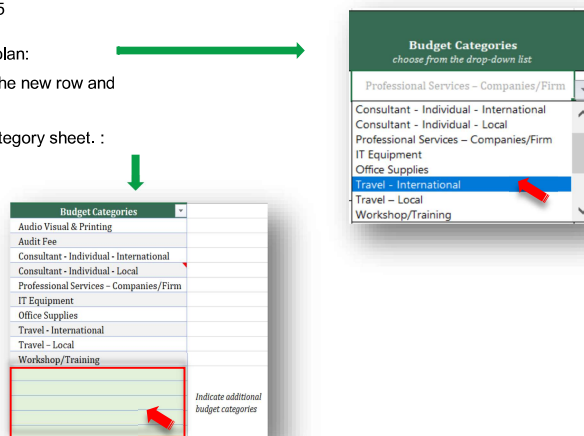
Readiness Grant Budget Preparation Guidelines

This file contains three specific planning tools to complete the supplementary information required when submitting a proposal for Readiness Programme support (including for NAP/adaptation planning):

- Budget plan and accompany Budget notes
- Procurement plan
- Implementation plan

The following considerations are important when completing the budget:

1. Before preparing the Readiness and budget, procurement, and implementation plans, please read the full guidance contained in the Readiness Programme Guidebook, specifically Part III Section 5
2. You can select the appropriate budget categories from the dropdown list in the budget plan:
3. To insert additional rows, right click on the row number below where you wish to insert the new row and choose INSERT.
4. Additional budget categories may be added by manually typing them on the Budget Category sheet. :
5. The Budget Notes sheet should be used to record explanations, further details or cost breakdowns for individual lines



Project Management Cost:

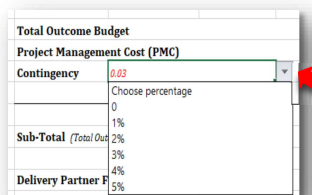
Project management costs (PMC) are the direct administrative costs incurred to execute a project. They should cover only incremental costs incurred due to the GCF contribution. In most cases, these costs are directly related to the support of a dedicated project management unit which manages the day to day execution related activities of the project.

General Principles for PMC costs:

1. The percentage of PMC financed by GCF should not be more than the percentage share of the overall budget financed by GCF
2. PMC budget thresholds: Up to 7.5 per cent of total activity budget.
 - > PMC exceeding 7.5 per cent for the readiness (including NAPs) proposals, and PPF proposals, up to \$ 3 million will require detailed documentation and justification supporting the entire PMC budget.
 - > The PMC should be shown as a separate component in the project budget. A detailed breakdown of PMC should be provided by budget category.
 - > Indicative list of eligible project management costs:
 - > **Project staffing and consultants:** Project manager, Project Assistant, Procurement personnel, Finance personnel & Support/admin. Personnel
 - > **Other direct costs:** Office equipment, Mission related travel cost of the PMU, Project management systems and information technology, Office supplies, Audit cost

Contingency :

1. Select the appropriate % of Contingency Budget from the dropdown list :
2. Contingency budget for unforeseen costs arising during the project implementation should not be included in the outcome budget separately.
3. Contingency budget must be used for any unforeseen programme (output level) cost that is unrelated to implementation/service fee.
4. Any use of contingency must be reported to and agreed by the GCF Secretariat in writing in advance provided with justifications that are acceptable to the GCF
5. If by the end of the grant implementation period, you have not spent Contingency, you may not increase the scope of the project or make any other expenditures using the Contingency.



5.1 Budget Plan

Please add rows for Outcomes, Outputs and Cost Categories as required. Additional budget categories may be added by manually typing them on the Budget Category sheet.

Outcomes / Outputs	Budget Categories choose from the drop-down list	Detailed Budget (in US\$)			Total Budget (per outcome)	Expenditure Plan				check	
		Unit	# of Unit	Unit Cost		6m	12m	18m	24m		2024
Outcome 1.1 Country NDAs or focal points and the network/ systems that enable them to fulfil their roles	Consultant - Individual - Local (Readiness Coordinator)	W/Day	200	245	49,000	12,250	12,250	12,250	12,250	49,000.00	
	Consultant - Individual - Local (Readiness Support Officer)	W/Day	180	125	22,500	5,625	5,625	5,625	5,625	22,500.00	
	Workshop/Training/Meetings	meetings	6	1,000	6,000	3,000	3,000			6,000.00	
	Workshop/Training/Meetings	workshop	4	250	1,000		1,000			1,000.00	
	Professional Services -- Companies/Firm	package	1	18,000	18,000	18,000				18,000.00	
	Travel - International	Trips	2	5,100	10,200			10,200		10,200.00	
	Output 1.1.1 Key climate finance stakeholders i.e. CCD, TTEC and PRC deliver on their functions as outlined in the RWI Handbook					106,700					
	Output 1.1.2 A centralised climate finance Projects information system established and operational to track and monitor projects and implementation of the country programme.	Consultant - Individual - Local (Readiness Coordinator)	W/Day	60	245	14,700	3,675	3,675	3,675	3,675	14,700.00
		Consultant - Individual - Local (Readiness Support Officer)	W/Day	70	125	8,750	2,188	2,188	2,188	2,187	8,750.00
	Output 1.1.3 Climate Change Directorate strategy strategy to fulfil its function including role in climate finance.	Consultant - Individual - International	W/Day	20	600	12,000	12,000				12,000.00
	Travel - International	Trips	1	7,400	7,400	7,400				7,400.00	
	Workshop/Training/Meetings	workshop	2	1,000	2,000	2,000				2,000.00	
	Professional Services -- Companies/Firm	W/Day	200	500	100,000	50,000	50,000			100,000.00	
	Travel - International	Trips	4	5,200	20,800	10,400	10,400			20,800.00	
Outcome 1.2 Direct access applicants and accredited entities (DAEs) have established capacity to meet and maintain the GCF's accreditation standards; and accredited DAEs have the capacity to develop a pipeline of projects and effectively implement GCF-funded activities	Output 1.2.1 MoF Strategy and plan for DAE accreditation is endorsed and the accreditation process is initiated.	Consultant - Individual - Local (Readiness Coordinator)	W/Day	40	245	9,800	2,450	2,450	2,450	9,800.00	
		Consultant - Individual - Local (Readiness Support Officer)	W/Day	60	125	7,500	1,875	1,875	1,875	7,500.00	
		Workshop/Training/Meetings	workshop	6	1,000	6,000	1,000	2,000	3,000	6,000.00	
		IT Equipment/Services	package	1	9,000	9,000	9,000			9,000.00	
		Workshop/Training/Meetings	workshop	1	2,000	2,000	2,000			2,000.00	
	Output 1.2.2 Regional DAE (SPREP) has					163,900.00					
						23,450					
						21,400					
						144,100					
						151,550.00					

Outcome 2.1 GCF recipient countries have developed Country Programmes to guide GCF investment and programming of readiness support resources	established presence and a strategy to support north Pacific countries with development and implementation of national and sub-regional climate change projects.	Consultant - Individual - Local (Readiness Coordinator)	W/Day	20	245	4,900	19,800		4,900					4,900.00	
		Consultant - Individual - Local (Readiness Support Officer)	W/Day	10	125	1,250			1,250						1,250.00
		Workshop/Training/Meetings	workshop	1	2,650	2,650			2,650						2,650.00
		Consultant - Individual - Local (Readiness Coordinator)	W/Day	20	245	4,900			4,900						4,900.00
Output 2.1.1 RMI Readiness needs are identified, prioritised and clearly articulated towards a more strategic readiness approach and roadmap to support RMI's 2050 climate ambitions.		Consultant - Individual - International	W/Day	30	600	18,000	32,300							18,000.00	
		Travel - International	Trip	1	7,400	7,400			7,400					7,400.00	
		Workshop/Training/Meetings	workshop	2	1,000	2,000			2,000					2,000.00	
		Consultant - Individual - Local (Readiness Coordinator)	W/Day	35	245	8,575			8,575					8,575.00	
Outcome 4.1 An increase in the number of quality project concept notes developed and submitted	Output 4.1.1 A project concept note developed and endorsed by the PRC/ITEC	Consultant - Individual - Local (Readiness Support Officer)	W/Day	15	125	1,875				1,875				1,875.00	
		Consultant - Individual - International	per day	55	600	33,000	58,030							33,000.00	
		Travel - International	trip	1	6,080	6,080			6,080					6,080.00	
		Workshop/Training/Meetings	workshop	4	1,000	4,000			4,000					4,000.00	
Outcome 5.1 Best practices with respect to institutional capacity building and coordination, direct access, and pipeline development are developed and disseminated to strengthen engagement by NDA, DAE(s), and delivery partners with the GCF	Output 5.1.1 Best practices from Pacific SDS inform RMI's strategies, plans and processes around institutional capacity building and coordination, direct access, and pipeline development.	Travel - Local	Lumpsum	3	1,500	4,500								4,500.00	
		Consultant - Individual - Local (Readiness Coordinator)	W/Day	75	245	18,375				18,375				18,375.00	
		Consultant - Individual - Local (Readiness Support Officer)	w/day	80	125	10,000	38,375				10,000			10,000.00	
		Professional Services – Companies/Firm	Lumpsum	1	10,000	10,000				10,000				10,000.00	
Total Outcome Budget						444,155		170,263	148,968	85,738	39,187				
Project Management Cost (PMC) Up to 7.5% of Total Activity Budget		Consultant - Individual - Local (Readiness Coordinator)	W/Day	30	245	7,350		Actual amount and % of PMC requested.	7,350	5717	5717	5717		17,151.00	
		Office Supplies	Lumpsum	2	1,110	2,220		do not change the formula	2,220	1000	1219			2,219.00	
		Audit Fee	Lumpsum	2	3,000	6,000		33,312	3,000	3000	3000			6,000.00	
		Office Costs	per month	725	24	17,400		7.50%	17,400						

32,970,00

FOR GREEN CLIMATE FUND SECRETARIAT'S USE ONLY

Breakdown (per budget category)	Total (per budget category)
Audio Visual & Printing	-
Audit Fee	6,000
Consultant - Individual - International	63,000
Consultant - Individual - Local	-
Consultant - Individual - Local (Readiness Coordinator)	117,600
Consultant - Individual - Local (Readiness Support Officer)	51,875
Professional Services - Companies/Firm	128,000
IT Equipment/Services	9,000
Office Supplies	2,220
Travel - International	51,880
Travel - Local	4,500
Workshop/Training/Meetings	25,650
Office Costs	17,400
Total Outcome Budget + PMC	477,125

480
415

FOR GREEN CLIMATE FUND SECRETARIAT'S USE ONLY

Total Outcome Budget		444,155
Project Management Cost (PMC)	7.4% requested	32,970
Contingency	1% requested	4,442
Sub-Total (Total Outcome Budget + Contingency + PMC)		481,567
Delivery Partner Fee (DP) - Up to 8.5% of the Sub-Total		40,933
Total Project Budget (Total Activity Budget + Contingency + PMC + DP)		\$ 522,500

DP Fee
Supervisory and Inception missions (incl. trav (inception, supervisory)
Terminal Evaluation
PCU time
CFR Time
Finance + Procurement
Legal

Disbursement 1
Disbursement 2
Disbursement 3

325,000
145,200
52,300

Budget notes	Detailed Description
A	Activity 1.1.1.1a The National GCF Readiness Coordinator (long-term local Consultant) is responsible for the delivery and deliverables of this activity. This is a critical position for the NDA to ensure implementation and integration of the endorsed mechanisms and will involve building internal partnerships, working with and contributing to climate finance discussions under the RMI Partnership Plan, coordinate and engage with interested stakeholders in country and external around the country programme.
B	Activity 1.1.1.1a: The Readiness Support Officer (long-term local Consultant) to support the R.Coordinator with secretariat and coordination tasks in the implementation of CCD Climate Finance activities including working with the TTEC and PRC committees. This is based on costing of 180 days at \$125/day
C	Activity 1.1.1.1a 6 x One day meeting (PRC or TTEC), venue hire @ USD350/day, 20 people @USD30 /person for catering, stationery & miscellaneous @ USD50/meeting
D	Activity 1.1.1.1a Stakeholder workshops/Training/Meetings to raise awareness and understanding of climate financing processes and the NDA function, including in-country information sessions provided by Accredited Entities, climate finance institutions, partners on ESS and Gender matters. Costed at 4 @ \$250 each for venue, catering based on an average of 15 participants per meeting.
E	Activity 1.1.1.1b+c Training budget for certified training. Flexibility in budget required for when appropriate training opportunities are determined from the stocktake activity (1.1.1.1b). This could be best delivered through standard courses with a local institution e.g. University of South Pacific (USP) Technical and Further Education (TAFE), a bespoke training course arrangement with a learning institution like USP, a blended course of face-to-face and online learning or a combination of these. (Average standard course for
F	Activity 1.1.1.1b Travel - International for 1 - 2 persons to attend training in the region or further abroad that is organised or endorsed by Accredited Entities, Donor Agencies and is in line with role in climate finance. Costing based on 2 x 7 day trips at \$3000 per flight and DSA \$2100 (at average \$300 region/international per day) - total per trip \$5100.
G	Activity 1.1.2.1 + 1.1.2.2 The GCF Readiness Coordinator (long-term local Consultant) to (a) Develop a project progress tracker to be housed in the RMI Data portal; Train and supervise Readiness Support Officer to update and disseminate information to stakeholders. (b) Research and assess feasibility of more sophisticated project information management system options for RMI (given existing
H	Activity 1.1.2.1 + 1.1.2.2 The Readiness Support Officer (long-term local Consultant) to support with the (a) coordination of regular system updates; (b) refresher training to personnel on how to access of the page/group (c) preparation of communication regarding the system / information from the system to stakeholders. This is based on costing for 70 days at USD125 per day
I	Activity 1.1.3.1 Individual-Consultant-International to assist in the development of the CCD corporate plan, including technical inputs, stakeholder consultations and publications.
J	Activity 1.1.3.1 Travel - International for consultant to facilitate consultations, draft and complete the strategy in-country. This is based on costs for a 20 day trip @ \$3000 return flight Sydney to Majuro and DSA \$4400 (average \$220 per day) - total \$7400 (DSA rates are according to SPREP's Travel Policy).
K	Activity 1.1.3.1 workshops / meetings for bringing together stakeholders for the development of the strategy One day meeting = venue hire @ USD350/day, 20 people @USD30 /person for catering, stationery & miscellaneous @ USD50/meeting; based on 2 meetings costed at \$1000 / meeting.
L	Activity 1.2.1.1 International call for tender will be for a consortium of consultants or an organisation to undertake full set of tasks which will be outlined in the terms of reference e.g. to (a) undertake gap assessment (b) develop an accreditation strategy (c) address gaps and application for OAS submission. Costed at equivalent of 120 days at \$500 per day over 22 months
M	Activity 1.2.1.1 Travel - International for 4 trips for firm to undertake consultations, develop strategy, implement actions and to enable completion of OAS application. Costs based on 10-day trips @ \$3000 return flight Sydney to Majuro and DSA \$2200 (average \$220 per day) - total \$5200 (DSA rates are according to SPREP's Travel Policy).
N	Activity 1.2.1.1 The GCF Readiness Coordinator (long-term local Consultant) to coordinate and participate with national stakeholders in work with the consultants and work with MoF for preparation of OAS system registration and upload of information. This is based on 40days @ USD245/day
O	Activity 1.2.1.1 The Readiness Support Officer (long-term local Consultant) to work with MoF in collating documentation and policies and tasks to support the work of the consultancy firm. This is based on costs for 125 days at USD125/day